



*JSW*

PATENT APPLICATION

**CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)**

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or ( ) being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 571 273-8300) ( \_ pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez

(Print Name)

Date: October 3, 2005

*Maria T. Sanchez*  
(Signature)

Docket No. 2004US301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Yu SUI et al

Art Unit: 1756

Serial No. 10/808,884 filed March 25, 2004

Examiner: Nicole M. BARRECA

For: POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING

**INFORMATION DISCLOSURE STATEMENT AFTER THE FIRST OFFICE ACTION  
ON THE MERITS, BUT BEFORE A FINAL OFFICE ACTION OR A NOTICE OF  
ALLOWANCE (37 CFR 1.97 (c))**

October 3, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Serial No.: 10/808,884  
Filed: March 25, 2004

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

1a. ☒ Form PTO-1449.

**US 2004/018451 A1**

**EP 1 033 624 A1**

**Notification of the Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration (Form PCT/ISA/220) along with the International Search Report (Form PCT/ISA/210) and the Written Opinion (Form PCT/ISA/237) for PCT/IB2005/000773.**

☒ Copies of Information Disclosure Statement citations with the exception that copies of US Patents and US Patent Application Publications are not enclosed as the present application was filed after June 30, 2003 and the Patent Office has waived the requirement to enclose such documents under 37 C.F.R. § 1.98(a)(2)(i) pursuant to the Official Gazette announcement dated 05 August 2003. (If box not checked, see item 8a. below).

1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report - attached as annex to PCT/ISA/206 (If box checked, see item 8a. below).

1d. ☐ English language abstract (complete or relevant portion(s)) or English language equivalent attached to each non-English language publication (see item 9c or 9e).

1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(d), hereto) for providing a concise explanation of each non-English publication.

Serial No.: 10/808,884

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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.

3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance.

3a. ☒ The §1.97(e) Statement in Item 5 below is applicable. Accordingly, no fee is required.

3b. ☐ The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 50-3309.

4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(d) after the Final Office Action or the Notice of Allowance, but before payment of the Issue Fee.

☐ The §1.97(e) Statement (Item 5 below) is applicable.

A Petition to the Commissioner is hereby made under 37 CFR §1.97(d) to request consideration of this Information Disclosure Statement. The \$\_\_\_\_\_ fee set forth in 37 CFR §1.17(i) is to be charged to Deposit Account No. 50-3309.

5. ☐ Statement Under §1.97(e) (*applicable if Item 3a or Item 4 is checked*)

5a. ☒ In accordance with 37 CFR §1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

5b. ☐ In accordance with 37 CFR §1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Serial No.: 10/808,884

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6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR §1.53(b). *(Check appropriate Items 6a and/or 6b and/or 6c).*
- 6a. ☐ Copies of the publications listed on Form PTO-1449 from prior Application Serial No., filed on, of which this application claims priority under 35 USC §120 have been omitted pursuant to 37 CFR §1.98(d) except those listed in 6b. below.
- 6b. ☐ Copies of the following publications listed on Form PTO-1449 which were not previously cited in prior Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_, of which this application claims priority under 35 USC §120, are provided herewith:
- 6c. ☐ Copies of the publications listed in Item 1c. above are listed on Form PTO-1449 but are not included as they were .
7. ☐ This is a continuation/divisional application under 37 CFR §1.53(d). *(Check either Item 7a or 7b.)*
- 7a. ☐ The Issue Fee has not been paid.
- 7b. ☐ A Petition to Withdraw from Issue under 37 CFR §1.313(b)(5) has been granted. A continuation application under 37 CFR §1.53(d) after payment of the Issue Fee is proper in accordance with 37 CFR §1.53(d)(1)(ii).
8. ☐ This is a Supplemental Information Disclosure Statement. *(Check either Item 8a or 8b.)*
- 8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR §1.97(f) supplements the Information Disclosure Statement filed on \_\_\_\_\_.
- 8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §1.97(i), mailed \_\_\_\_\_. (MPEP 609, Form ¶ 6.51, July 1997.)
9. ☐ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

*(Check appropriate Items 9a, 9b, 9c and/or 9d)*

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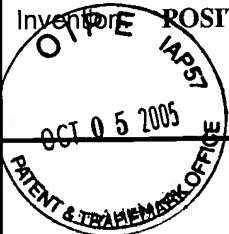

- 9a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office. (See U.S. Patent and Trademark Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68)).
- 9b. ☐ set forth in the application.
- 9c. ☐ satisfied because an English language equivalent is attached to each non-English language publication as follows:
- 9d. ☐ enclosed as Attachment 1(d), hereto.
- 9e. ☐ attached in abstracts in the English language as follows:
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).
11. ☒ The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR §1.17 for this Information Disclosure Statement and/or Petition to Deposit Account No. 50-3309.

Respectfully submitted,


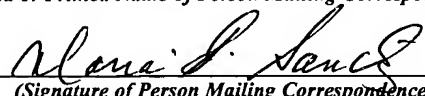


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Customer No. 26,289

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>			Docket No. <b>2004US301</b>
Applicant(s): Yu SUI et al.			
Serial No. <b>10/808,884</b>	Filing Date <b>March 25, 2004</b>	Examiner <b>Nicole M. BARRECA</b>	Group Art Unit <b>1756</b>
Inventor: <b>POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING</b>			
<div></div>			
<p>I hereby certify that this <u>Information Disclosure Citation - 1 Page</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>October 3, 2005</u> <i>(Date)</i></p> <p><u>MARIA T. SANCHEZ</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

<div>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</div> <div><div>O I P E I A P 5 7</div><div>OCT 0 5 2005</div><div>PATENT &amp; TRADEMARK SERVICE</div></div>				Docket Number (Optional) <b>2004US301</b>		Application Number <b>10/808,884</b>	
				Applicant(s) <b>Yu SUI et al.</b>			
				Filing Date <b>March 25, 2004</b>		Group Art Unit <b>1756</b>	
U.S. PATENT DOCUMENTS							
OWNER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2004/018451 A1	1/29/2004	Choi			
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	EP 1 033 624 A1	9/6/2000	EUROPE				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Notification of the Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration (Form PCT/ISA/220) along with the International Search Report (Form PCT/ISA/210) and the Written Opinion (Form PCT/ISA/237) for PCT/IB2005/000773					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>			Docket No. <b>2004US301</b>
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Serial No. <b>10/808,884</b>	Filing Date <b>March 25, 2004</b>	Examiner <b>Nicole M. BARRECA</b>	Group Art Unit <b>1756</b>
Invention: <b>POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING</b>			
			
<p>I hereby certify that this <u>EP 1 033 624 A1 - 71 Pages</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>October 3, 2005</u> <i>(Date)</i></p> <p><b>MARIA T. SANCHEZ</b> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p> <i>(Signature of Person Mailing Correspondence)</i></p> <p><b>Note: Each paper must have its own certificate of mailing.</b></p>			

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Applicant(s): Yu SUI et al.

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1756**POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING**

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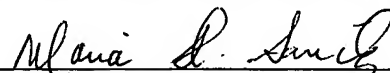
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

October 3, 2005

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)



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